

# Information Theory and Networks

## Lecture 19: Complexity

Matthew Roughan

[<matthew.roughan@adelaide.edu.au>](mailto:matthew.roughan@adelaide.edu.au)

[http://www.maths.adelaide.edu.au/matthew.roughan/  
Lecture\\_notes/InformationTheory/](http://www.maths.adelaide.edu.au/matthew.roughan/Lecture_notes/InformationTheory/)

School of Mathematical Sciences,  
University of Adelaide

September 18, 2013

# Part I

## Complexity

For every problem there is a solution which is simple, clean  
and wrong.

*Henry Louis Mencken*

# Simplicity and Occam's razor

Pluralitas non est ponenda sine neccesitate  
*William of Ockham (ca. 1285-1349)*

- "Plurality should not be posited without necessity."
- alternative versions
  - ▶ "Entia non sunt multiplicanda praeter necessitatem", or "Entities should not be multiplied beyond necessity"
  - ▶ "in vain we do by many which can be done by means of fewer"
  - ▶ "if two things are sufficient for the purpose of truth, it is superfluous to suppose another"
  - ▶ Principle of Parsimony

## Simplicity and Occam's razor

Pluralitas non est ponenda sine neccesitate  
*William of Ockham (ca. 1285-1349)*

- "Plurality should not be posited without necessity."
- alternative versions
  - ▶ "Entia non sunt multiplicanda praeter necessitatem", or "Entities should not be multiplied beyond necessity"
  - ▶ "in vain we do by many which can be done by means of fewer"
  - ▶ "if two things are sufficient for the purpose of truth, it is superfluous to suppose another"
  - ▶ Principle of Parsimony

William of Ockham (ca. 1285-1349) was a medieval English philosopher and Franciscan monk. Franciscans were minimalists, idealising a life of poverty and simplicity. We can see that in his statement, which is perhaps aimed more at a philosophy of life and theology, than of science. It also isn't necessarily his idea, though we associate it with him through his writings.

<http://skepdic.com/occam.html>

Quidquid latine dictum sit, altum viditur.

# Complexity

- Occam's Razor is often interpreted as "simple theories are best" (all else being equal)
- But what do "simple" or "complex" mean?
  - ▶ computational complexity
    - ★ computational resources (e.g. CPU or memory) required by an algorithm
  - ▶ emergence and self-organization
    - ★ e.g. flocking behaviour
    - ★ e.g. Conway's game of life
    - ★ e.g. consciousness
  - ▶ non-linearity and "chaos"
  - ▶ irreducible systems
    - ★ systems that are more than the sum of their parts?
  - ▶ programming complexity
    - ★ metrics for describing how complicated a computer program is
    - ★ e.g., length of code, vocabulary,
    - ★ e.g., count of linearly independent paths through the code

## Complexity

Complexity

- Occam's Razor is often interpreted as "simple theories are best" (all else being equal)
- But what do "simple" or "complex" mean?
  - ▶ computational complexity
    - ★ computational resources (e.g. CPU or memory) required by an algorithm
  - ▶ emergence and self-organization
    - ★ e.g. flocking behaviour
    - ★ e.g. Conway's game of life
    - ★ e.g. consciousness
  - ▶ non-linearity and "chaos"
  - ▶ irreducible systems
    - ★ systems that are more than the sum of their parts?
  - ▶ programming complexity
    - ★ metrics for describing how complicated a computer program is
    - ★ e.g. length of code, vocabulary,
    - ★ e.g. count of linearly independent paths through the code

- [http://en.wikipedia.org/wiki/Complex\\_systems](http://en.wikipedia.org/wiki/Complex_systems)
- [http://en.wikipedia.org/wiki/Programming\\_complexity](http://en.wikipedia.org/wiki/Programming_complexity)
- [http://en.wikipedia.org/wiki/Lehman's\\_laws\\_of\\_software\\_evolution](http://en.wikipedia.org/wiki/Lehman's_laws_of_software_evolution)

# "complicated" vs "complex"

Warren Weaver, 1948

- **disorganised complexity:**
  - ▶ large number of relationships, often can be considered almost independent
  - ▶ "complicated" = lots of moving parts, but reducible to these
  - ▶ use probability and statistical mechanics to analyse, e.g., temperature of a gas, roll of a dice, ...
- **organised complexity:**
  - ▶ smaller (maybe still large) number of relationships, that can't be treated as independent
  - ▶ non-random, but hard to predict
  - ▶ "complex" = small number of parts can generate "interesting" behaviour
  - ▶ analyse (typically) through simulation

## "complicated" vs "complex"

"complicated" vs "complex"

Warren Weaver, 1948

- **disorganised complexity:**
  - ▶ large number of relationships, often can be considered almost independent
  - ▶ "complicated" = lots of moving parts, but reducible to these
  - ▶ use probability and statistical mechanics to analyse, e.g. temperature of a gas, roll of a dice, ...
- **organised complexity:**
  - ▶ smaller (maybe still large) number of relationships, that can't be treated as independent
  - ▶ non-random, but hard to predict
  - ▶ "complex" = small number of parts can generate "interesting" behaviour
  - ▶ analyse (typically) through simulation

- <http://en.wikipedia.org/wiki/Complexity>

# Complexity

- Why do I care:
  - ▶ complex systems are harder to manage
  - ▶ how can we make them simpler if we don't even understand what that means
- We're interested in strings (signals or messages) so lets talk about them?

## Complexity

- Why do I care:
  - complex systems are harder to manage
  - how can we make them simpler if we don't even understand what that means?
- We're interested in strings (signals or messages) so lets talk about them?

# Complexity examples

- We're interested in strings (signals or messages) so lets talk about them?
- Which of these is complex?
  - 1 101
  - 2 110010010000111111011010101000100
  - 3 1010010101000010101011111010101010

# Complexity examples

- We're interested in strings (signals or messages) so lets talk about them?
- Which of these is complex?
  - 1 101
  - 2 110010010000111111011010101000100
  - 3 101001010100001010101111101010101010
- Answers:
  - 1
  - 2
  - 3

# Kolmogorov Complexity

- The basic idea is that the complexity is the length of the shortest description of the sequence
  - ▶ “description” could mean a program to generate it
  - ▶ or it could just be “write the string 10101...”
- Obviously this is still a little vague
  - ▶ what programming language and computer?

## Kolmogorov Complexity

- The basic idea is that the complexity is the length of the shortest description of the sequence
  - “description” could mean a program to generate it
  - or it could just be “write the string 10101...”
- Obviously this is still a little vague
  - what programming language and computer?

# Turing Machine

- An abstract model of a computer
- Turns out that all sufficiently complex computing systems are equivalent in the sense that they can compute the same family of functions:
  - ▶ computable functions intuitively have a finite program, that completes in a finite number of steps to the result
  - ▶ almost all functions we deal with in math are computable (though maybe not efficiently)
  - ▶ there are a few that aren't
- Turing machines have a few variants, but simplest has
  - ▶ a tape
  - ▶ a finite state machine that can write/read from the tape

## Turing Machine

- An abstract model of a computer
- Turns out that all sufficiently complex computing systems are equivalent in the sense that they can compute the same family of functions:
  - computable functions intuitively have a finite program, that completes in a finite number of steps to the result
  - almost all functions we deal with in math are computable (though maybe not efficiently)
  - there are a few that aren't
- Turing machines have a few variants, but simplest has
  - a tape
  - a finite state machine that can write/read from the tape

The Church-Turing thesis states, informally, that if some algorithm exists to compute a function, then the same calculation can be calculated on a Turing machine, or with Church's  $\lambda$ -calculus, or in fact any sufficiently complex computer.

Note, not all functions are computable. The Busy-Beaver function is an example that takes an input  $n$ , and returns the largest number of symbols that any Turing machine with  $n$  states can print before halting, when run with no input. It turns out not to be computable.

# Simple Turing Machine

- a tape
  - ▶ a **tape** is an idealisation of computer memory
  - ▶ imagine a strip of paper on which we can write or erase some symbols (often binary 1s and 0s)
  - ▶ the tape can be moved back and forth so that the machine can write and read any point on the tape
- a finite state machine that can write/read from each tape
  - ▶  $n$  states, plus "halt"
  - ▶ transition function has inputs of current state and current tape value
  - ▶ transition causes three outputs:
    - ★ can write over the current bit of the tape
    - ★ it can move the tape
    - ★ the state machine's state can change
- running the machine means setting a set of tape values, and a starting state, and then allowing transitions until "halt" is reached

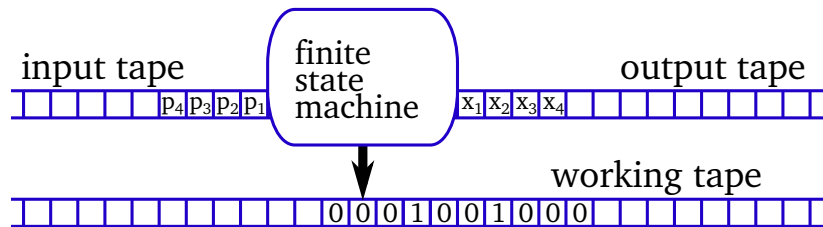
## Simple Turing Machine

Simple Turing Machine

- a tape
  - ▶ a tape is an idealisation of computer memory
  - ▶ imagine a strip of paper on which we can write or erase some symbols (often binary 1s and 0s)
  - ▶ the tape can be moved back and forth so that the machine can write and read any point on the tape
- a finite state machine that can write/read from each tape
  - ▶  $n$  states, plus "halt"
  - ▶ transition function has inputs of current state and current tape value
  - ▶ transition causes three outputs:
    - can write over the current bit of the tape
    - it can move the tape
    - the state machine's state can change
- running the machine means setting a set of tape values, and a starting state, and then allowing transitions until "halt" is reached

# Our Turing Machine

- Ours will be just a little different (but equivalent)



- Its helpful to separate inputs and outputs from working memory
  - ▶ input tape (with the input  $p$  – the **program** – on it)
  - ▶ output tape (which we will write the output  $x$  on)
  - ▶ a working tape
  - ▶ a finite state machine that can write/read from each tape
- We'll call this a **universal computer**

## Our Turing Machine

Our Turing Machine

- Ours will be just a little different (but equivalent)

The diagram shows a central box labeled "finite state machine". To its left is an "input tape" with cells containing  $p_4, p_3, p_2, p_1$ . To its right is an "output tape" with cells containing  $x_1, x_2, x_3, x_4$ . Below the input and output tapes is a "working tape" with cells containing  $0, 0, 0, 1, 0, 0, 1, 0, 0, 0$ . Arrows indicate the flow of information from the input tape to the finite state machine, and from the finite state machine to the output tape and working tape.

- Its helpful to separate inputs and outputs from working memory
  - ▶ input tape (with the input  $p$  – the **program** – on it)
  - ▶ output tape (which we will write the output  $x$  on)
  - ▶ a working tape
  - ▶ a finite state machine that can write/read from each tape
- We'll call this a **universal computer**

# Formal Kolmogorov Complexity

## Definition (Kolmogorov Complexity)

The **Kolmogorov complexity**  $K_{\mathcal{U}}(\mathbf{x})$  of a string  $\mathbf{x}$  with respect to a universal computer  $\mathcal{U}$  is defined as

$$K_{\mathcal{U}}(\mathbf{x}) = \min_{\{\mathbf{p} | \mathcal{U}(\mathbf{p}) = \mathbf{x}\}} \ell(\mathbf{p})$$

So we are

- minimising the length  $\ell(\mathbf{p})$  of the input  $\mathbf{p}$
- such that the output  $\mathcal{U}(\mathbf{p}) = \mathbf{x}$
- and then it halts

## Formal Kolmogorov Complexity

Definition (Kolmogorov Complexity)  
The Kolmogorov complexity  $K_{\mathcal{U}}(\mathbf{x})$  of a string  $\mathbf{x}$  with respect to a universal computer  $\mathcal{U}$  is defined as


$$K_{\mathcal{U}}(\mathbf{x}) = \min_{\{\mathbf{p} | \mathcal{U}(\mathbf{p}) = \mathbf{x}\}} \ell(\mathbf{p})$$

So we are

- minimising the length  $\ell(\mathbf{p})$  of the input  $\mathbf{p}$
- such that the output  $\mathcal{U}(\mathbf{p}) = \mathbf{x}$
- and then it halts

A universal computer (or universal Turing machine) is one that can simulate any other Turing machine.

## Further reading I

 Thomas M. Cover and Joy A. Thomas, *Elements of information theory*, John Wiley and Sons, 1991.