# Information Theory and Networks <br> Lecture 8: Decodability 

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## Part I

## Decodability

There are 10 types of people in the world: those who understand binary, and those who don't

## Morse code

Morse code has a problem

- its not really a binary code because we need letter and word separators
- e.g., to tell the difference between

$$
\begin{aligned}
a n & =\cdot--\cdot \\
p & =\cdot--\cdot
\end{aligned}
$$

- we end up with 4 "symbols", and that
- complicates the transmission and reception processes
- reduces the efficiency
- introduces a source of errors
- In general we want codes that are decodable without adding extra symbols
- e.g., true binary codes


## Definitions [CT91, pp.78-81]

## Definition (Source code)

A source code $C$ for a random variable $X$ is a mapping from $\Omega$, the range of $X$ to $\mathcal{D}^{*}$ (the set of all finite length strings of symbols from the alphabet $\mathcal{D}$ ).

Our code "alphabet" is made up of symbols from $\mathcal{D}$. If the size of this set is $D=|\mathcal{D}|$ then we call this a $D$-ary code.

If we only allowed single symbols in the output, then this would be the range of $C(\cdot)$, but usually we allow finite strings in our "codewords".

The set of strings of length $n$ is called $\mathcal{D}^{n}$, and the set of all finite length strings is called $\mathcal{D}^{*}=\cup \mathcal{D}^{n}$, So the source code is a mapping $C: \Omega \rightarrow \mathcal{D}^{*}$, which might, for instance, look like

$$
C(x)=d_{1} d_{2} d_{3} \ldots d_{n}
$$

for some $d_{i} \in \mathcal{D}$. The length of the code is denoted $\ell(x)$, which in the case above would be $n$.

## Definitions [CT91, pp.78-81]

## Definition (Non-singular)

A code is said to be non-singular if every element of the range of $X$ maps into a different string in $\mathcal{D}^{*}$, i.e.,

$$
x_{i} \neq x_{j} \Rightarrow C\left(x_{i}\right) \neq C\left(x_{j}\right)
$$

Non-singularity is a necessary condition for decodability

- otherwise we can't decode a single symbol uniquely but it isn't sufficient to guarantee decodability of a sequence, at least not without an extra "separator" symbol, which is inefficient.


## Definitions [CT91, pp.78-81]

## Definition (Extension)

The extension $C^{*}$ of a code $C$ is the mapping from finite length strings $\Omega^{*}$ to finite length strings $\mathcal{D}^{*}$ defined by

$$
C^{*}\left(x_{1} x_{2} \cdots x_{n}\right)=C\left(x_{1}\right) C\left(x_{2}\right) \cdots C\left(x_{n}\right)
$$

where $C\left(x_{i}\right) C\left(x_{j}\right)$ indicates concatenation of codewords.

## Definition (Uniquely decodable)

A code is called uniquely decodable if its extension is non-singular.

## Definitions [CT91, pp.78-81]

## Definition (Prefix-free codes)

A code is called a prefix-free code or an instantaneous code if no codeword is a prefix of any other codeword.

For Example:

| $X$ | Prefix-free code |
| :--- | :--- |
| 1 | 0 |
| 2 | 10 |
| 3 | 110 |
| 4 | 111 |

## Prefix-free codes

## Theorem <br> Prefix-free codes are uniquely decodable (and in fact can be decoded without reference to the future codewords).

## Proof.

In a prefix-free code, the end of a codeword is immediately recognisable because if we find a string that is a valid codeword, it can't be the prefix of a longer codeword, so we can stop decoding the word at that point.

We can think of prefix-codes as self-punctuating.
The result above means that prefix-free codes are not just uniquely decodable, but also that we can decode using a single-pass, making them an attractive option for codes.

## Prefix-free codes

We can represent codewords as a $D$-ary tree: e.g., for binary codes


For a prefix-free code, no codeword can be an ancestor of another.

Morse code is not prefix-free


## Code classes



## Code classes [CT91, pp.82]

|  |  | Non-singular, <br> but not <br> uniquely <br> decodable | Uniquely <br> decodable, <br> but not | prefix-free |
| :--- | :--- | :--- | :--- | :--- |$\quad$ Prefix-free | $X$ | Singular code | 0 | 10 |
| :--- | :--- | :--- | :--- |
| 1 | 0 | 010 | 00 |
| 2 | 0 | 01 | 11 |

## Variable vs fixed length codes

- If we fix the length of the codewords, then, its easy to determine the boundaries
- such codes are implicitly prefix free (as long as they are non-singular)
- But variable length codes can be more efficient
- e.g., use shorter codes for more common symbols
- now we have to make sure they are uniquely decodable and the easiest thing is to ensure they are prefix free


## Kraft inequality

Theorem (Kraft inequality)
There exists a $D$-ary prefix-free code with codeword lengths $\ell_{1}, \ell_{2}, \ldots, \ell_{m}$, iff the Kraft inequality

$$
\sum_{k=1}^{m} D^{-\ell_{k}} \leq 1
$$

is satisfied.

## Kraft inequality example

| $X$ | Prefix-free code | length $\ell_{i}$ |
| :--- | :--- | :--- |
| 1 | 0 | 1 |
| 2 | 10 | 2 |
| 3 | 110 | 3 |
| 4 | 111 | 3 |

its a binary code, so $D=2$, so

$$
\sum_{k=1}^{m} D^{-\ell_{k}}=2^{-1}+2^{-2}+2^{-3}+2^{-3}=1
$$

## Prefix-free codes

We can represent codewords as a $D$-ary tree: e.g., for binary codes


For a prefix-free code, no codeword can be an ancestor of another.

## Kraft proof

## Kraft inequality $\Rightarrow$.

Consider the $D$-ary tree corresponding to a prefix-free code. Let $\ell_{\max }$ be the longest codeword. The tree has $D^{\ell_{\text {max }}}$ possible nodes at level $\ell_{\text {max }}$ (but not all are actual codewords).
The $k$ th codeword is at level $\ell_{k}$, and has $D^{\ell_{\text {max }}-\ell_{k}}$ descendents at level $\ell_{\text {max }}$, and each of these sets of descendents is disjoint, and so the total number of such descendents can't be greater than the possible nodes at level $\ell_{\text {max }}$, i.e.,

$$
\sum_{k=1}^{m} D^{\ell_{\max }-\ell_{k}} \leq D^{\ell_{\max }}
$$

and (dividing by $D^{\ell_{\text {max }}}$ ) the Kraft inequality must hold for any prefix-free code.

## Kraft proof

## Kraft inequality $\Leftarrow$.

Conversely, given a set of codeword lengths $\ell_{1}, \ell_{2}, \ldots, \ell_{m}$ which satisfy the inequality, we can always construct a $D$-ary tree corresponding to a prefix-free code. The construction is as follows:

- WLOG order the lengths so that $\ell_{1} \leq \ell_{2} \leq \cdots \leq \ell_{m}$
- There are $D^{\ell_{1}}$ possible nodes at depth $\ell_{1}$ suitable for the first code.
- Assume the first $i$ codewords have been chosen successfully, and we now want to choose a codeword of length $\ell_{i+1}$. It can't be a descendent of any of the previous codewords, so we have eliminated

$$
\sum_{k=1}^{i} D^{\ell_{i+1}-\ell_{k}}
$$

nodes at level $\ell_{i+1}$ of the tree, but by the Kraft inequality we know that this must leave at least one possible choice.

## Further reading I

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Thomas M. Cover and Joy A. Thomas, Elements of information theory, John Wiley and Sons, 1991.
$\square$ Raymond W. Yeung, Information theory and network coding, Springer, 2010.

